

(1390 REV. 5-93) US DEPT. OF COMMERCE PATENT &amp; TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE  
UNITED STATES  
DESIGNATED/ELECTED OFFICE  
(DO/EO/US) CONCERNING A FILING  
UNDER 35 U.S.C. 371**

U.S. APPLICATION NO.  
(if known, sec 37 C.F.R.1.5)  
09/937,082

INTERNATIONAL APPLICATION NO. PCT/JP01/00407	INTERNATIONAL FILING DATE January 23, 2001	PRIORITY DATE CLAIMED January 25, 2000
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TITLE OF INVENTION  
GAME SYSTEM, PROGRAM AND IMAGE GENERATION METHOD

APPLICANT(S) FOR DO/EO/US  
Katsuhiro ISHII

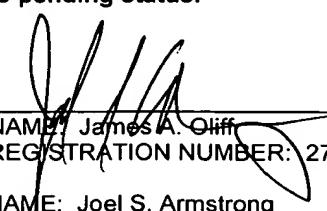
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US)
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.  
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14.  A substitute specification.
15.  Entitlement to small entity status is hereby asserted.
16.  Other items or information: Response to Notification of Missing Requirements Declaration Already Filed

RECEIVED  
SEP 16 2002  
TECHNOLOGY CENTER 2200  
R-3700  
PCT/PTO  
17  
22  
ED

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 09/937,082	INTERNATIONAL APPLICATION NO. PCT/JP01/00407	ATTORNEY'S DOCKET NUMBER 110671		
17. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS		
<b>Basic National fee (37 CFR 1.492(a)(1)-(5)):</b> Search Report has been prepared by the EPO or JPO .... \$890.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) ..... \$710.00 No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$740.00 Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1,040.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$ 100.00		PTO USE ONLY		
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$		
Claims	Number Filed	Number Extra	Rate	
Total Claims	- 20 =		X \$ 18.00	\$
Independent Claims	- 3 =		X \$ 84.00	\$
Multiple dependent claim(s)(if applicable)			+ \$280.00	\$
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$
Reduction by 1/2 for filing by small entity, if applicable.				- \$
<b>SUBTOTAL =</b>				\$
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				\$
<b>TOTAL NATIONAL FEE =</b>				\$
				Amount to be refunded \$
				Charged \$
a. <input type="checkbox"/> Check No. _____ in the amount of \$ _____ to cover the above fees is enclosed.				
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.				
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>				
<b>SEND ALL CORRESPONDENCE TO:</b> OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320				
 NAME: James A. Oliff REGISTRATION NUMBER: 27,075				
Date: <u>March 19, 2002</u> NAME: Joel S. Armstrong REGISTRATION NUMBER: 36,430				

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of

Katsuhiro ISHII

Application No.: 09/937,082

ATTN: PCT Branch

Docket No.: 110671

Filed: October 10, 2001

For: GAME SYSTEM, PROGRAM AND IMAGE GENERATION METHOD

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

**UNDER 35 U.S.C 371 IN THE UNITED STATES**

**DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**DECLARATION ALREADY FILED**

Director of the U.S. Patent and Trademark Office

Washington, D.C. 20231

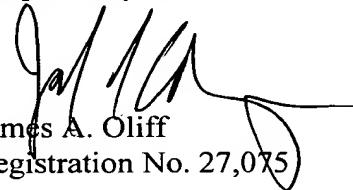
Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on March 12, 2002, the executed Declaration of the inventor was filed on October 10, 2001. A copy of the executed Declaration as filed, a copy of the Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371, and a copy of the stamped postcard receipt are attached hereto. The surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)) was also paid on October 10, 2001 with our Check No. 123713 for \$130.00.

Entry of these documents on October 10, 2001 should have completed all of the filing formalities. Accordingly, prompt issuance of a Notification of Acceptance and Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461.

Respectfully submitted,

  
James A. Oliff  
Registration No. 27,075

Joel S. Armstrong  
Registration No. 36,430

JAO:JSA/mlb

Date: March 19, 2002

**OLIFF & BERRIDGE, PLC**  
**P.O. Box 19928**  
**Alexandria, Virginia 22320**  
**Telephone: (703) 836-6400**

**DEPOSIT ACCOUNT USE  
AUTHORIZATION**  
Please grant any extension  
necessary for entry;  
Charge any fee due to our  
Deposit Account No. 15-0461

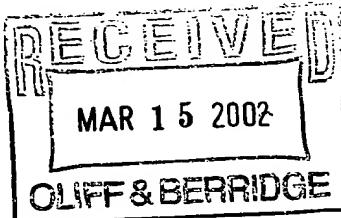


## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,082	Katsuhiro Ishii	110671

Oliff & Berridge  
 P.O. Box 19928  
 Alexandria, VA 22320



Date Mailed: 03/12/2002

INTERNATIONAL APPLICATION NO.

PCT/JP01/00407

IA. FILING DATE PRIORITY DATE

01/23/2001

CONFIRMATION NO. 4514  
 371 FORMALITIES LETTER



\*OC000000007619630\*

Missing Parts

DUE DATE

MAY 12 2002

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Request for Immediate Examination

DOCKETED  
 By DL on 3/15 2002  
 and  
 By MXI on 3/15 2002  
 Oliff & Berridge

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5).

*A copy of this notice **MUST** be returned with the response.*

DEBORAH D WILLIAMS

Telephone: (703) 305-3744

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,082	PCT/JP01/00407	110671

FORM PCT/DO/EO/905 (371 Formalities Notice)

**PTO RECEIPT FOR FILING OF PAPERS**

**The following papers have been filed:**

PCT trans, ck 123713 \$130, DEC, assign trans, ck 123712 \$40, ASSIGN

**Name of Applicant:** Katsuhiro ISHII

**Serial No.:** 09/937,082

**Atty. File No.:** 110671

**Title (New Cases):**

**Sender's Initials:** JAO/zmc

MUR

88/14

**RECEIVED**  
SEP 17 2002

TECHNOLOGY CENTER R3700

REC'D  
SEP 17 2002  
TECHNOLOGY CENTER R3700

**PATENT OFFICE DATE STAMP**



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**COPY TO BE STAMPED BY PATENT OFFICE  
AND RETURNED BY MESSENGER**